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02-28-00

PTO/SB/05 (2/98) (modified)

Approved for use through 9/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

A

## NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number	4705 US
First Named Inventor	Axel Schultze
Total Pages in this Submission	29
Express Mail Label No.	EL263547748US

EL263547748US

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS																															
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)  <input type="checkbox"/> Check Enclosed</p> <p>2. <input checked="" type="checkbox"/> Specification  <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li><input type="checkbox"/> Descriptive Title of the Invention</li> <li><input type="checkbox"/> Cross Reference(s) to Related Case(s)</li> <li><input type="checkbox"/> Statement Regarding Fed sponsored R &amp; D</li> <li><input type="checkbox"/> Background of the Invention</li> <li><input type="checkbox"/> Brief Summary of the Invention</li> <li><input type="checkbox"/> Brief Description of the Drawing(s)</li> <li><input type="checkbox"/> Detailed Description</li> <li><input type="checkbox"/> Claim or Claims</li> <li><input type="checkbox"/> Abstract of the Disclosure</li> </ul> </p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (<i>when necessary per 35 USC 113</i>)</p> <p>4. Oath or Declaration           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> New Declaration  <input type="checkbox"/> Executed</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)                      Signed statement attached deleting inventor(s)                      named in the prior application, see 37 CFR                      1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>5. <input type="checkbox"/> Incorporation by Reference (<i>useable if Box 4b is checked</i>). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Assignment &amp; Assignment Recordation Cover Sheet</p> <p>7. <input type="checkbox"/> Certified Copy of Priority Document(s)  <i>(if foreign priority is claimed)</i></p> <p>8. <input type="checkbox"/> Information Disclosure Statement &amp; PTO-1449  <input type="checkbox"/> Copies of IDS Citation(s)</p> <p>9. <input type="checkbox"/> Preliminary Amendment</p> <p>10. Small Entity Statement           <ul style="list-style-type: none"> <li><input type="checkbox"/> New Statement enclosed</li> <li><input type="checkbox"/> Statement filed in prior application. Status still proper and desired</li> </ul> </p> <p>11. <input checked="" type="checkbox"/> Return Postcard</p> <p>12. <input type="checkbox"/></p> <p>13. <input type="checkbox"/></p> <p>14. <input type="checkbox"/></p> <p>15. <input type="checkbox"/></p> <p>16. <input type="checkbox"/></p>																															
<p><b>ADDRESS TO:</b>                      Assistant Commissioner for Patents                      Box Patent Application                      Washington, D.C. 20231</p>																																	
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:</p> <p><input type="checkbox"/> Continuation   <input type="checkbox"/> Divisional   <input type="checkbox"/> Continuation-in-part (CIP)   of prior application No: _____ / _____</p> <p>Prior application information:   Examiner: _____   Group/Art Unit: _____</p>																																	
<p><b>18. CORRESPONDENCE ADDRESS</b></p> <table border="1"> <tr> <td>NAME</td> <td colspan="4">John T. McNelis, Esq. Fenwick &amp; West LLP</td> </tr> <tr> <td>ADDRESS</td> <td colspan="4">Two Palo Alto Square</td> </tr> <tr> <td>CITY</td> <td>Palo Alto</td> <td>STATE</td> <td>CA</td> <td>ZIP CODE</td> </tr> <tr> <td>COUNTRY</td> <td>U.S.A.</td> <td>TELEPHONE</td> <td>(650) 858-7133</td> <td>FAX (650) 494-1417</td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="3">John T. McNelis</td> <td>Registration No. (Attorney/Agent) 37,186</td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> <td>Date 25 Feb 2000</td> </tr> </table>				NAME	John T. McNelis, Esq. Fenwick & West LLP				ADDRESS	Two Palo Alto Square				CITY	Palo Alto	STATE	CA	ZIP CODE	COUNTRY	U.S.A.	TELEPHONE	(650) 858-7133	FAX (650) 494-1417	Name (Print/Type)	John T. McNelis			Registration No. (Attorney/Agent) 37,186	Signature				Date 25 Feb 2000
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0002/PTO(modified)	U.S. Department of Commerce Patent and Trademark Office	<i>Complete if Known</i>	
Rev. 10/95		Application Number	Not Yet Assigned
<b>FEE TRANSMITTAL</b>		Filing Date	February 25, 2000
		First Named Inventor	Axel Schultze
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
<b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) =		Attorney Docket Number	4705 US
(\$0.00)			

METHOD OF PAYMENT		<b>FEE CALCULATION (continued)</b>																																																																																																																														
<b>1. The Commissioner is hereby authorized to:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</li> <li><input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup></li> <li><input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.</li> </ul>		<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Large Entity Fee Code/Fee</th> <th style="width: 20%;">Small Entity Fee Code/Fee</th> <th style="width: 40%;">Fee Description</th> <th style="width: 20%;">Fee Due</th> </tr> </thead> <tbody> <tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month<sup>†</sup></td><td></td></tr> <tr><td>116/\$380</td><td>216/\$190</td><td>Extension for response within second month<sup>†</sup></td><td></td></tr> <tr><td>117/\$870</td><td>217/\$435</td><td>Extension for response within third month<sup>†</sup></td><td></td></tr> <tr><td>118/\$1,360</td><td>218/\$680</td><td>Extension for response within fourth month<sup>†</sup></td><td></td></tr> <tr><td>128/\$1,850</td><td>228/\$925</td><td>Extension for response within fifth month<sup>†</sup></td><td></td></tr> <tr><td>119/\$300</td><td>219/\$150</td><td>Notice of Appeal</td><td></td></tr> <tr><td>141/\$1,210</td><td>241/\$605</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142/\$1,210</td><td>242/\$605</td><td>Utility Issue Fee (Or Reissue)</td><td></td></tr> <tr><td>143/\$430</td><td>243/\$215</td><td>Design Issue Fee</td><td></td></tr> <tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146/\$690</td><td>246/\$345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149/\$690</td><td>249/\$345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="2" style="text-align: right;"><b>SUBTOTAL (1)</b> (\$0.00)</td><td colspan="3" style="text-align: right;"><b>SUBTOTAL (3)</b> (\$0.00)</td></tr> <tr> <td colspan="2"> <b>2. 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SUBMITTED BY		<i>Complete (if applicable)</i>	
Typed or Printed Name		John T. McNees, Esq.	
		Reg. Number <b>37,186</b>	
Signature	<i>John T. McNees</i>		Date <b>25 Feb 2000</b>

<sup>†</sup>Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby  
Rev. 11/04/99